



Financial Conflict of Interest Disclosure Statement

Name:

Date:

E-mail:

Phone:

College:

Department:

1. TYPES OF RESEARCH

Do you participate in the following types of research? (Check all that apply)

- Human Subjects, Animal Subjects, Investigational New Drug Applications (INDs), Investigational Device Exemption Applications (IDEs)

2. TRAINING

Have you completed COI training within the last 4 years? Yes No

If yes, enter date of completion:

If no, please complete the online training module(s) through CITI. Information can be found on the Sponsored Programs Conflict of Interest webpage.

3. INTERESTS IN OUTSIDE ENTITIES

Do you or any of your immediate family members (spouse, domestic partner, children, parents, grandparents, and siblings) meet any of the following conditions that could possibly be related to your UCA responsibilities?

YES NO

Own equity interests (stock, stock options, or other ownership interest) in outside organizations. (do not include equity interests in investment vehicles, such as mutual funds and retirement accounts, unless you have direct control of the investment decisions made in these investment vehicles.)

Own licensed intellectual property (patents, copyrights, etc) or receive income from intellectual property rights.

Received remuneration or other payments from an outside entity within the last 12 months (do not include income received from UCA, government agencies, academic medical centers, or accredited, public or non-profit institutions of higher education or their affiliated research institutes).

Received travel stipends sponsored by an outside entity within the last 12 months (do not include travel sponsored by UCA, government agencies, academic medical centers, or accredited, public or non-profit institutions of higher education or their affiliated research institutes).

Obligated to fiduciary interests (service as a board member, executive officer, etc.) with an outside entity. Fiduciary Interest is defined as a legal or ethical obligation to act in the best interest of an outside entity that could appear to inappropriately influence the integrity or objectivity of your professional role or decision-making responsibilities at UCA.

Received gifts, endowments, or other contributions within the past 12 months from an outside entity (do not include gifts, etc. from the UCA Development Office or Foundation).

Expect current or prospective financial relationship or ownership interest with an entity that does business with UCA.

Provide any other services for an entity other than UCA, whether as an employee or contractor, while working as a full-time employee with UCA.

If you checked YES to any of the above statements, as related to your UCA responsibilities, please describe below. Be sure to include the name of the outside entity, the relationship with the outside entity, the value of interest or compensation received, nature of services provided to the entity or by the entity, and any other pertinent information that would assist in determining a conflict of interest.

ATTESTATION:

I agree to abide by UCA's Conflict of Interest Policy, found on the Sponsored Programs Conflict of Interest webpage. I supply this information for confidential review by UCA and for such other limited purposes as are required by law, regulation, or contract. I attest that this disclosure is true and complete to the best of my knowledge. I understand and agree that if there is a material change to this information, I must submit a new disclosure statement within thirty (30) days of that change.

Signature

UCA ID#